



GAU2814

Patent
Attorney's Docket No. 032005-120

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#a IDS
11-a3-01
R Stokes

In re Patent Application of

Mark ASHBY, et al.

Group Art Unit: 2814

Application No.: 09/960,389

Examiner: unassigned

Filed: September 24, 2001

For: DEVICE AND METHOD FOR
FACILITATING MEMOSTASIS OF A
BIOPSY TRACT

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**INFORMATION DISCLOSURE STATEMENT
TRANSMITTAL LETTER**

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Enclosed is an Information Disclosure Statement and accompanying form PTO-1449 for the above-identified patent application.

- ☒ [X] No additional fee for submission of an IDS is required.
- ☐ [] The fee of \$180.00 (126) as set forth in 37 C.F.R. § 1.17(p) is also enclosed.
- ☐ [] A certification under 37 C.F.R. § 1.97(e) is also enclosed.
- ☐ [] A certification under 37 C.F.R. § 1.97(e), and the fee of \$180.00 (126) as set forth in 37 C.F.R. § 1.17(p) are also enclosed.
- ☐ [] Charge \$_____ to Deposit Account No. 02-4800 for the fee due.
- ☐ [] A check in the amount of \$_____ is enclosed for the fee due.

The Commissioner is hereby authorized to charge any appropriate fees under 37 C.F.R. §§ 1.16, 1.17 and 1.21 that may be required by this paper, and to credit any overpayment, to Deposit Account No. 02-4800. This paper is submitted in duplicate.

Respectfully submitted,

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By 
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Date: November 15, 2001



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INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure as set forth in 37 C.F.R. § 1.56, Applicants hereby submit the following information in conformance with 37 C.F.R. §§ 1.97 and 1.98. Pursuant to 37 C.F.R. § 1.98, a copy of each of the documents cited was submitted in Application No. 09/382,160 upon which is based a claim for priority under 35 U.S.C. § 120.

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U.S. Patent No. 4,744,364
U.S. Patent No. 4,790,819
U.S. Patent No. 4,852,568
U.S. Patent No. 4,890,612

U.S. Patent No. 4,900,303
U.S. Patent No. 4,936,835
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S.A. Riley, et al., "Percutaneous Transhepatic Liver Biopsy with Tract Embolization", *Radiology*, Vol. 198 (1996): pgs. 769-774.

The documents are being submitted within 3 months of the filing or entry of the national stage of this application or before the first Office Action on the merits, whichever is later, therefore no fee or certification is required under 37 C.F.R. § 1.97(b).

Copies of the listed documents were previously submitted in prior application Serial No. 09/382,160, filed August 24, 1999, upon which Applicants rely for the benefits provided in 35 U.S.C. § 120. In accordance with 37 C.F.R. § 1.98(d), copies of the listed documents are not included.

To assist the Examiner, the documents are listed on the attached form PTO-1449. It is respectfully requested that an Examiner initialed copy of this form be returned to the undersigned.

Respectfully submitted,

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